



An engaged corporate leader seeking a corporate board position. With over 30 years of experience in the financial services industry including wealth management, brokerage, institutional money management and private wealth strategies, Julie has proven success in board engagement, leadership through transition and crisis, managing corporate risk and improving employee engagement and corporate profitability.

## PROFESSIONAL EXPERTISE

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### JULIE GOODRUM

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#### BUSINESS EXPERIENCE

Wealth Enhancement Group  
(Formerly Cardan Capital Partners),  
Director of Advisor Team Operations  
2021-present

Cardan Capital Partners, Chief  
Compliance & Chief Operations  
Officer 2018-2021

Private Capital Management,  
formerly Wagner Wealth  
Management, Chief Compliance &  
Chief Operations Officer 2011-2018

Goodrum Consulting, Principal,  
2003-2011

Cambiar Investors, VP Marketing,  
1996-2003

Merrill Lynch, Operations Manager,  
1991-1996

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#### CONTACT

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303.475.0979

#### RISK MANAGEMENT & STRATEGY

- Over 10 years of Chief Compliance Officer and risk management experience.
- Developed strategies for financial, regulatory, operational, cybersecurity, and market risk management.
- Designed risk evaluation, mitigation and oversight programs to align with fiduciary responsibilities and regulatory requirements.
- Provided regular reporting to Executive Committee on corporate risk profile and programs.
- Led two companies through regulatory examinations with the Securities and Exchange Commission.

#### OPERATIONAL MANAGEMENT

- Over 20 years of experience leading teams experiencing significant growth or who are in crisis.
- Led three teams that experienced 100% growth in less than five years through operational restructuring to drive efficiencies and technological adoption. This allowed the teams to continue growth without meaningful additions to headcount while driving improved corporate profitability and a consistent client experience.
- Led a technology enhancement team focused on driving systematic efficiencies which resulted in reducing incoming work to one corporate team by 20%, eliminating over 15% of incoming support requests to another team, and reducing the time to complete a required workflow for client facing employees by more than 50%.

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## EDUCATION

MS Marketing, University of Colorado, 1994

BS Finance, Arizona State University, 1990

Boardbound by Women's Leadership Foundation program, 2022-2023 Cohort

## COMMUNITY ENGAGEMENT

Girl's Inc. of Metro Denver, Finance Committee Member

Karis Community, Board Member, Finance Committee Member

## MERGERS AND ACQUISITION

- Led two companies through the sale process, one as an equity owner, including opportunity analysis, due diligence, and deal evaluation.
- Led two companies through post transaction corporate integration.
- Lead a multi-disciplinary team focused on supporting M&A activity on the buy side including due diligence, integration planning and acquisition team training.

## BOARD, EXECUTIVE COMMITTEE ENGAGEMENT

- Experience presenting to boards of endowments, foundations, Taft-Hartley and large corporations.
- Led two Executive Committees with responsibility for financial, risk, and operational management as well as firm strategy and corporate branding.
- Served two terms for Charles Schwab's Technology, Operations, & Service (TOS) Board to provide input into future service enhancements offered by Charles Schwab.